PATENT
Attorney's Docket No. ESW-0308

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL FORM

In re application of: Leo D. Brown, et al.
Serial No: 10/680,920
Filed: October 8, 2003
For: **METHOD FOR DETERMINING THE SOURCE OF FOULING IN THERMAL CONVERSION PROCESS UNITS**

Examiner: Unknown

Group Art Unit: 1764

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The Honorable Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement, PTO 1449 form.

This Information Disclosure Statement is submitted in accordance with the requirements of 37 CFR 1.56, 1.97 and 1.98, before the mailing date of the First Office Action. No fee is due.

12/08/2004
Date

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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office on the date indicated below.

Judy Gould — *(Name of person mailing paper)*
Judy Gould — *(Signature of person mailing paper)*
12/08/04 — *(Date)*

"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Leo D. Brown, et al.	§	EXAMINER:	Unknown
	§		
	§	GROUP ART UNIT:	1764
SERIAL NO.: 10/680,920	§		
	§	ATTY. DOCKET NO.:	ESW-0308
FILED: October 8, 2003	§		
	§	FAMILY NO.:	P2002J115
FOR: Method for Determining the Source of Fouling in Thermal Conversion Process Units	§		

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Honorable Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

This Information Disclosure Statement is being filed under:

- ☐ 37 CFR 1.97 (b) (1) within three months of the filing date of a national application other than a continued prosecution application.
- ☐ 37 CFR 1.97 (b) (2) within three months of the date of entry of the national stage in an international application.
- ☒ 37 CFR 1.97 (b) (3) before the mailing date of a first Office action on the merits.
- ☐ 37 CFR 1.97 (b) (4) before the mailing date of a first Office action after filing a Request for Continued Examination.
- ☐ 37 CFR 1.97 (c) before the mailing date of a final action or notice of allowance. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17 (p).

☐ 37 CFR 1.97 (d) prior to payment of the issue fee. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97 (e) and the fee set forth in 37 CFR 1.17 (p).

Form PTO-1449 is attached to this paper listing documents submitted in the above-referenced case. It is respectfully requested that the Examiner consider these documents and return an initialed copy of each form to the attorney of record.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

The instant application was filed after June 30, 2003. In accordance with *1276 Official Gazette 55,* Aug. 5, 2003, which waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, applicants have not submitted copies of these documents. Copies of any cited foreign patent documents and/or non-patent literature are submitted herewith in accordance with 37 CFR 1.98 (a) (2).

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for any reason the Examiner finds that the disclosure or documents do not comply with these sections, notification is respectfully requested.

If there are any matters or issues outstanding, the Examiner is encouraged to contact the attorney of record at the telephone number listed below.

Respectfully submitted:

Date: 12/08/04

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FORM - 1449 SHEET 1 OF 1

INFORMATION DISCLOSURE STATEMENT *(Use several sheets if necessary)*	ATTY. DOCKET NO. ESW-0308	SERIAL NO. 10/680,920
	APPLICANT Leo D. Brown, et al.	
	FILING DATE October 8, 2003	GROUP 1764

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,507,196	3/26/85	Reed et al.	208	48 AA	
	AB	4,642,175	2/10/87	Rudnick	208	88	
	AC	4,756,819	7/12/88	Bousquet et al.	208	48 AA	
	AD	4,770,764	9/13/88	Ohtake et al.	208	73	
	AE	4,863,892	9/5/89	Porter et al.	502	170	
	AF	5,000,836	3/19/91	Forester	208	48 AA	
	AG	5,296,130	3/22/94	Kriz et al.	208	107	
	AH	5,658,455	8/19/97	Hammond et al.	208	127	
	AI	5,863,418	1/26/99	Heyse et al.	208	135	
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
	AK							
	AL							
	AM							
	AN							
	AO							

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AP	
	AQ	

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant

PAGE 4/4 * RCVD AT 12/8/2004 1:06:16 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:2259771025 * DURATION (mm-ss):01-42